

# INDUCTIVE RULE CLASSES OF GÖDEL ALGEBRAS

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September 12, 2023

What are  $\Pi_2$ -rules?

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# Motivation

Gabbay (1981): axiomatising irreflexive frames. Idea: introduce the following rule, for  $p$  not occurring in  $\phi$ :

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**My goal:**

- Provide an algebraic/model theoretic framework to analyse these rules similar to varieties/quasivarieties.
- Use it to study these rules for [Gödel Algebras](#).



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Will focus on [single-conclusion consequence relations](#).

$\mathcal{L}$  is a modal/intuitionistic logic of your preference (for simplicity).

### Definition

A  $\Pi_2$ -rule is a triple  $(\Gamma, F, \phi)$  such that:

1.  $\Gamma \cup \{\phi\}$  is a set of  $\mathcal{L}$ -formulas;
2.  $F$  is a (possibly empty) set of propositional variables occurring in  $\Gamma$  but not in  $\phi$ .

,When  $F$  is omitted, we write  $F(\Gamma)$  to mean this set  $F$ .

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Suggestively:

$$\forall \bar{p}_{\bar{p} \in F} \Gamma \vdash \phi.$$

### Definition

If  $(\mathcal{A}, v)$  is an algebraic model, we write  $(\mathcal{A}, v) \models \forall \bar{p}_{\bar{p} \in F} \Gamma \vdash \phi$  to mean: if for all valuations  $v'$  differing from  $v$  at most in  $F$ ,  $v'(\psi) = 1$  for each  $\psi \in \Gamma$ , then  $v(\phi) = 1$ .

## $\Pi_2$ -rule Systems and Inductive Rule Classes

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One can develop the notion of a “ $\Pi_2$ -rule system” by extrapolating from the standard case. We say that  $\Sigma$  is such if:

- (**Monotonicity**)  $\forall \bar{p}_{p \in F} \Gamma \vdash \phi \in \Sigma$  then for any finite  $\Gamma'$  and  $S$ , we have  $\forall \bar{p}_{p \in S \cup F} (\Gamma, \Gamma') \vdash \phi \in \Sigma$ ;
- (**Bound Structurality**) if  $\forall \bar{p}_{p \in F} \Gamma \vdash \psi \in \Sigma$  and  $\sigma$  is a substitution leaving all variables in  $F$  fixed, and such that  $p$  does not occur in  $\sigma(q)$  for  $q \notin F$ , then  $\forall \bar{p}_{p \in F} \sigma[\Gamma] \vdash \sigma(\psi) \in \Sigma$
- (**Renaming**) If  $\forall \bar{p}, q_{p \in F} \Gamma \vdash \phi \in \Sigma$ , then if  $\Gamma'$  is  $\Gamma$  with all instances of  $q$  replaced by  $r$ ,  $\forall \bar{p}, r_{p \in F} \Gamma' \vdash \phi \in \Sigma$ .

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- (**Strong Reflexivity**) For all sets  $\Gamma$ , and  $F$  a set of propositional letters, and  $p_0, \dots, p_n \in F$ , and  $\phi \in \Gamma$ , we have  $\forall \bar{q}_{q \in F} \Gamma \vdash \phi[\bar{\psi}/\bar{p}] \in \Sigma$ , for  $\psi_i$  formulas not containing any variables from  $F$ .
- (**Rule Cut**) If  $F = \{p_0, \dots, p_n\}$  and  $G = \{q_0, \dots, q_k\}$  and  $\Gamma(\bar{p}, \bar{r})$  is a collection of formulas, and  $\forall \bar{p}_{p \in F} \Gamma \vdash \mu_i(\bar{q}, \bar{r}) \in \Sigma$  where  $\Delta = \{\mu_i(\bar{q}, \bar{r}) : i \leq n\}$  is a finite set of formulas; and  $\forall \bar{q}_{q \in G} \Delta \vdash \phi \in \Sigma$  is a rule, where no variable in  $G$  appears free in  $\Gamma$ , then  $\forall \bar{p}_{p \in F} \Gamma \vdash \phi \in \Sigma$ .

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Using a Lindenbaum-Tarski style argument we can prove:

### **Theorem (Completeness Theorem for Inductive rules)**

*Let  $\Sigma$  be a  $\Pi_2$ -rule system. Suppose that  $\Gamma/\phi \notin \Sigma$ . Then there is some algebra  $\mathbf{H}$ , such that  $\mathcal{H} \models \Sigma$ , and  $\mathcal{H} \not\models \Gamma/\phi$ .*

This raises the question: **what is a “variety” or a “quasivariety” in this setting?**



## Definition

Given two algebras  $\mathcal{A} \leq \mathcal{B}$ , we say that this is a  $\forall$ -subalgebra if for each equation  $\phi(\bar{x}, \bar{y})$  in the language  $\mathcal{L}$  and  $\bar{a} \in \mathcal{A}$ :

$$\mathcal{A} \models \forall \bar{x} \phi(\bar{x}, \bar{a}) \implies \mathcal{B} \models \forall \bar{x} \phi(\bar{x}, \bar{a}).$$

A class  $\mathbf{K}$  of algebras is called an **inductive class** if it is closed under ultraproducts, products and  $\forall$ -subalgebras.

For example: any subdirect product is a  $\forall$ -subalgebra.

With some minimal adaptations from Mal'tsev's theorem we get:

## Theorem

Let  $\mathbf{K}$  be a class of algebras. Then the following are equivalent:

1.  $\mathbf{K}$  is an inductive rule class.
2.  $\mathbf{K}$  is axiomatised by ( $\forall\exists$ -Special Horn) first-order formulas of the form:

$$\forall \bar{x} (\forall \bar{y} (\bigwedge_{i=1}^n \phi_i(\bar{x}, \bar{y})) \rightarrow \psi(\bar{x}))$$

3.  $\mathbf{K}$  is  $\text{IS}_{\forall} \text{PP}_{\cup} \text{P}^{\text{fin}}(\mathbf{K}')$  for some class of algebras  $\mathbf{K}'$ .

Using these results, we can prove a basic algebraic completeness result:

## **Corollary**

*There is a dual isomorphism,  $Ind$ , between the lattice of  $\Pi_2$ -rule systems, and the lattice of inductive rule classes of  $\mathcal{L}$ -algebras.*

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**Upshot:** We can use tools of universal algebra and algebraic logic to look at the structure of  $\Pi_2$ -rules and logically interesting questions herein.

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**Upshot:** We can use tools of universal algebra and algebraic logic to look at the structure of  $\Pi_2$ -rules and logically interesting questions herein.

As a case study we concentrate on **Gödel algebras**:

$$LC := IPC \oplus (p \rightarrow q \vee q \rightarrow p).$$

## Example

The *density rule* of Takeuti and Titani:

$$\forall q(p \rightarrow q) \vee (q \rightarrow r) \vee c \vdash (p \rightarrow r) \vee c.$$

This rule corresponds over linear Heyting algebras  $\mathcal{H}$  (i.e., chains) to the chains being **dense**.

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## Example

Given the formulas  $bc_n$

$$bc_n = p_0 \vee p_0 \rightarrow p_1 \vee \dots \vee p_0 \wedge \dots \wedge p_{n-1} \rightarrow p_n.$$

We can consider the rule  $\forall p_0, \dots, p_n bc_n \vdash \perp$ .

This rule works like an anti-axiom: it corresponds to the dual Kripke frame having at least  $n + 1$  points.

We can analyse the [lattice of inductive classes](#) of Gödel algebras. The variety of all Gödel algebras is precisely the dual to LC.



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1. [\(Gödel, 1933, Dummett, 1959\)](#) – The lattice of subvarieties of Gödel algebras is countable with order type  $\omega + 1$ .
2. [\(Dzik & Wronski, 1973\)](#) – Every subquasivariety of Gödel algebras is already a variety.
3. [\(Beckmann, Goldstern, Preining, 2008\)](#) – There are countably many first-order Gödel logics.
4. [\(Baasz, 1998\)](#) – On the other hand, when looking at [potentially infinitary](#) systems of Gödel logic, there are continuum many such systems.

## What about inductive classes?

Let  $X = \{[n] : n \in \omega\}$  be a set containing all  $n$ -element chains.

Let  $\lambda_n$  be the equation defining the variety generated by the  $n$ -element chain. Then for each chain  $[m]$ ,  $[m] \models \lambda_n$  if and only if  $m \leq n$ .

### Theorem

*For each subset  $Y \subseteq X$ ,  $\text{IR}(Y)$  forms a distinct inductive rule class. Hence there are  $2^{\aleph_0}$  many inductive rule classes.*

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**Proof:** Suppose that  $Y \neq Z$ . Let  $[n] \in Y \notin Z$ . Assume that  $[n] \in \mathbb{IR}(Z)$ . Then  $[n]$  is a  $\forall$ -subalgebra of an ultraproduct of finite products of elements from  $Z$  (by model theoretic completeness).

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Say that  $[n] \leq_{\forall} \prod_{i \in I} \mathcal{H}_i / U$ ; then  $\prod_{i \in I} \mathcal{H}_i / U \models \lambda_n$  because it is a  $\forall$ -subalgebra. By Los theorem, then for ultrafilter many  $i$ ,  $\mathcal{H}_i \models \lambda_n$ . So if  $\mathcal{H}_i \cong \prod_{j=1}^n [k_j]$  we have that  $[k_j] \models \lambda_n$ , so  $k_j \leq n$ . Since  $[n] \notin Z$ , then for  $k = \max(\{k \in Z : k < n\})$  we have that  $[k_j] \models \lambda_k$ . But then  $\mathcal{H}_i \models \lambda_k$ . Since this holds for ultrafilter many  $i$ ,  $\prod_{i \in I} \mathcal{H}_i / U \models \lambda_k$ , which implies that  $[n] \models \lambda_k$ , a contradiction.  $\square$

In fact, one can construct explicit rules separating, for instance [3] from [2]:

$$\forall q(\neg\neg q \rightarrow q \vee p) \vdash p.$$

This rule can be falsified in **2**, by taking  $p = 0$ , but it cannot fail in **3**. The problem here seems to be that finite algebras become very separated when looking at inductive classes.

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One might then expect that the structure of such classes is hopeless. But it seems it is still possible to say interesting things.

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More precisely we can even characterise all extensions of LC which are “inductively structurally complete” – where all  $\Pi_2$ -rules which are admissible are derivable:

### **Theorem**

*An inductive rule class is inductively structurally complete if and only if it is of the form  $\text{IR}([n])$  or  $\text{IR}(\mathbb{Q})$ .*



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This turns out to depend on a nice structure theorem for algebras and  $\forall$ -subalgebras.

Some natural questions follow, both in the setting of LC and elsewhere:

- How do model completions interact with these rules?
- What can be said in general about admissibility of  $\Pi_2$ -rules?
- How do these rules relate to other interesting phenomena like implicit connectives?

Thank you!  
Questions?