

# COLIMITS AND FREE CONSTRUCTIONS OF HEYTING ALGEBRAS THROUGH ESAKIA DUALITY

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## Free Algebras of Logic

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## Mantra

*If  $L$  is a logic, with a corresponding class of algebras  $\mathbf{K}$ , the free algebras of  $\mathbf{K}$  are algebraic versions of canonical models; they encode most of the interesting properties of  $L$ .*

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## Example

1. Free **Boolean algebras** are very well-understood: for a set  $X$  they can be described as the duals of

$$2^X$$

understood as the  $X$ -fold product of the discrete space with two elements.

2. Similarly, for **Distributive Lattices**, the description of the free algebras is similarly straightforward: for a set  $X$ ,

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Having a good grasp of free algebras is intimately related to having a good grasp of **colimits** of these algebras. **Logically**, this has several natural desirable applications: interpolation, conservativity, etc.

## Definition

An algebra  $\mathcal{H} = (H, \wedge, \vee, \rightarrow, 0, 1)$  is called a **Heyting algebra** if  $(H, \wedge, \vee, 0, 1)$  is a bounded distributive lattice satisfying for every  $a, b, c$ :

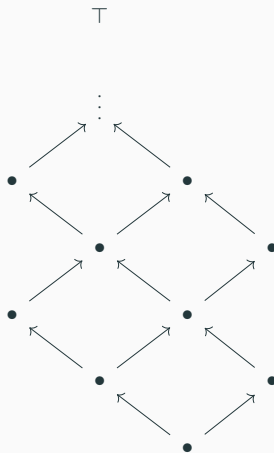
$$a \wedge b \leq c \iff a \leq b \rightarrow c.$$

# Intuitionistic Monsters

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The goal of this work is to present a construction which gives us access to free Heyting algebras, coproducts of Heyting algebras, and related constructions.

## Generating Heyting Algebras

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# Heyting Algebras from Distributive Lattices

Describing the freely generated algebras amounts to studying the adjunction:

$$\mathbf{HA} \begin{array}{c} \xrightarrow{\text{Free}} \\ \xleftarrow{\text{Forget}} \end{array} \mathbf{Set}$$

Figure 2: Free-Forgetful Adjunction

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Figure 2: Free-Forgetful Adjunction

Instead of studying it directly, we “split” this adjunction:

$$\begin{array}{ccc} \text{HA} & \begin{array}{c} \xrightarrow{\text{Free}} \\ \xleftarrow{\text{Forget}} \end{array} & \text{Set} \\ & \begin{array}{c} \swarrow \text{Free}_{\text{HA}} \\ \searrow \text{Free}_{\text{DL}} \end{array} & \\ & \text{DL} & \end{array}$$

Figure 3: Split Free Forgetful Adjunction

**Key Intuition:** We think of generating Heyting algebras as **adding infinitely many layers of implications** to a distributive lattice.

## Heyting Algebras from Distributive Lattices, algebraically

Let  $\mathcal{D}$  be a distributive lattice, and  $X$  a set of generators. Let  $F_{DL}(X)$  be the free distributive lattice on  $X$ .

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Let  $\mathcal{D}_0 = \mathcal{D}$ . Then set

$$\mathcal{D}_1 = F_{DL}(\{a \rightarrow b : a, b \in D\})/\Theta$$

where  $\Theta$  contains:

1. Axioms of Heyting algebras;
2. Axioms enforcing elements of the form  $1 \rightarrow a$ , for  $a \in D$ , to behave like the elements from  $D$ .

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We set the map  $i_1 : \mathcal{D}_0 \rightarrow \mathcal{D}_1$  sending  $a$  to  $[1 \rightarrow a]$ , which is a homomorphism by force. We then iterate; but for  $\mathcal{D}_2$  we need to also add one more rule to  $\Theta$ :

3. Axioms ensuring that if  $a, b \in D$ , then:

$$1 \rightarrow_{\mathcal{D}_2} (a \rightarrow_{\mathcal{D}_1} b) \equiv (1 \rightarrow_{\mathcal{D}_1} a) \rightarrow_{\mathcal{D}_2} (1 \rightarrow_{\mathcal{D}_2} b).$$

This is how we define  $\mathcal{D}_2$ , and  $i_2$  is defined similarly.



We then iterate infinitely:

1.  $\mathcal{D}_{n+1} = F_{DL}(\{a \rightarrow b : a, b \in \mathcal{D}_n\})/\Theta$ , where  $\Theta$  is defined as above;
2.  $i_n : \mathcal{D}_n \rightarrow \mathcal{D}_{n+1}$  sends  $a$  to  $[1 \rightarrow a]$ .

**Problem:** We would like to say that the free Heyting algebra generated by  $\mathcal{D}$  is the union of all of these. But we do not know whether this is a chain of embeddings, or really anything about this construction.

## Dual Perspectives

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To clarify the properties of the above construction, we analyze it dually.

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The idea of dual one-step constructions is not-new, and well-studied in Modal Logic. In the case where we generate from **finite Heyting algebras**, this was studied by Ghilardi (1992), generalizing previous work of Urquhart (1973); Bezhanishvili and Gehrke (2009) gave a detailed outline of this method for various classes.

## Vietoris Spaces

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$V(X)$  is the set of closed subsets of  $X$ ; it has a basis consisting of sets:

$$[U] = \{C \in V(X) : C \subseteq U\} \text{ and } \langle V \rangle = \{C \in V(X) : C \cap V \neq \emptyset\}$$

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We write  $V_r(X)$  for the set of **closed and rooted** subsets of  $X$ .

The following is a crucial lemma:

## Lemma

*The space  $V_r(X)$  is a closed subspace of  $V(X)$ .*

## Proof.

Consider  $V(X) \times X$ . Then look at the following spaces:

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One can show that both of them are closed subspaces of  $V(X) \times X$ . Their intersection is thus closed. But  $V_r(X)$  is the projection on the first coordinate of such a space – and continuous functions between Stone spaces are closed maps.  $\square$



## Definition

Let  $X, Y, Z$  be Priestley spaces, and  $g : X \rightarrow Y$  and  $f : Z \rightarrow X$  be Priestley morphisms. We say that  $f$  is **open relative to  $g$**  ( $g$ -open for short) if it satisfies the following:

$$\forall a \in Z, \forall b \in X, (f(a) \leq b \implies \exists a' \in Z, (a \leq a' \ \& \ g(f(a')) = g(b))). \quad (*)$$

Given  $S \subseteq X$  a closed subset, we say that  $S$  is  **$g$ -open** (understood as a poset with the restricted partial order relation) if the inclusion is itself  $g$ -open.

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## Lemma

A map  $f : Z \rightarrow X$  as above is  $g$ -open if and only if whenever  $U, V \subseteq Y$  are clopen upsets,  $\downarrow g^{-1}[U] - g^{-1}[V]$  is clopen in  $X$ , and:

$$f^{-1}[X - \downarrow(g^{-1}[U] - g^{-1}[V])] = X - \downarrow(f^{-1}g^{-1}[U] - f^{-1}g^{-1}[V]).$$

*i.e.,  $f^{-1}$  preserves relative pseudocomplements indexed by  $g^{-1}$ .*

## Definition

Let  $X, Y$  be Priestley spaces,  $g : X \rightarrow Y$  Priestley morphism. We denote by  $V_r(X)$  the set of **closed and rooted** subsets of  $X$ . We denote by  $V_g(X)$  the set of **closed, rooted and  $g$ -open** subsets of  $X$ .

Then we also have:

## Lemma

*Let  $X, Y$  be Priestley spaces,  $g : X \rightarrow Y$  be a Priestley morphism such that  $X$  whenever  $U, V \subseteq Y$  are clopen,  $\downarrow(g^{-1}[U] - g^{-1}[V])$  is clopen in  $X$ . Then  $V_g(X)$  is a closed subspace of  $V_r(X)$ .*

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## Proposition

*If  $X, Y$  are Priestley spaces such that  $g : X \rightarrow Y$  is a Priestley morphism in the above conditions, then  $V_g(X)$  is a Priestley space. Moreover, the map  $r_g : V_g(X) \rightarrow X$  sending each rooted subset  $C$  to its root (the “root map”) is a continuous and order-preserving surjection which is  $g$ -open.*

We can now proceed with the main construction:

## Definition

Let  $g : X \rightarrow Y$  be a Priestley morphism. The  **$g$ -Vietoris complex** over  $X$  ( $(V_{\bullet}^g(X), \leq_{\bullet})$ ), is a sequence

$$(V_0(X), V_1(X), \dots, V_n(X))$$

connected by morphisms  $r_i : V_{i+1}(X) \rightarrow V_i(X)$  such that:

1.  $V_0(X) = X$ ;
2.  $r_0 = g$
3. For  $i \geq 0$ ,  $V_{i+1}(X) := V_{r_i}(V_i(X))$ ;
4.  $r_{i+1} = r_{r_i} : V_{i+1}(X) \rightarrow V_i(X)$  is the root map.

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We denote the projective limit of this family by  $V_G^g(X)$ , and omit  $g$  when this is the terminal map to  $\mathbf{1}$ .

It is not hard to show that  $V_G^g(X)$  is always an **Esakia space**.

Then we can prove:

## **Proposition**

*Let  $g : X \rightarrow Y$  be a Priestley morphism, and let  $k : Z \rightarrow X$  be a  $g$ -open Priestley morphism. Then there exists a unique extension of  $k$  to a  $p$ -morphism  $\tilde{k} : Z \rightarrow V_G^g(X)$ .*

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By considering maps appropriately, one can use this to prove:

## Corollary

*The assignment  $V_G$  is a functor mapping **Pries** of Priestley spaces and Priestley morphisms, to the category **Esa** of Esakia spaces and  $p$ -morphisms. It is the right adjoint of the inclusion.*



## Some Applications

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Using the above results we can obtain a more concrete description of free Heyting algebras:

## Corollary

*Let  $S$  be any set. Then the Esakia space  $V_G(\mathbf{2}^S)$  is dual to the free Heyting algebra on  $S$ -generators.*

In the finite case this recovers Urquhart/Ghilardi's construction of the free  $n$ -generated Heyting algebra.

Given  $X, Y$  two Esakia spaces, their cartesian product  $X \times Y$  is again an Esakia space; however this is not the **categorical product**.

## Proposition

*Let  $X, Y$  be two Esakia spaces. Then the triple*

$$(V_G^{\pi_X, \pi_Y}(X \times Y), \tilde{\pi}_X, \tilde{\pi}_Y)$$

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Using this we can prove some basic facts about the category of Heyting algebras, directly:

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*The category of Heyting algebras has amalgamation.*

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And we can prove others which we would not know how to prove otherwise:

## Theorem

*The category of Heyting algebras is co-distributive.*

Paste somethings from the AIML presentation

The applications of this method do not seem to be limited to this. One goal I have in sight is to find a way of using this to prove the following:

### **Theorem**

*If  $\bar{p}, q$  are propositional letters, then the inclusion  $F_{HA}(\bar{p}) \rightarrow F_{HA}(\bar{p}, q)$  has a left and a right adjoint.*

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### **Theorem**

*If  $\bar{p}, q$  are propositional letters, then the inclusion  $F_{HA}(\bar{p}) \rightarrow F_{HA}(\bar{p}, q)$  has a left and a right adjoint.*

This is the algebraic version of the **Uniform Interpolation Property** due to Pitts.



There are several open questions raised by this, of a technical and conceptual nature:

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1. Is  $V_g(X)$  a (bi-)Esakia space whenever  $X$  is one?
2. Can one construct free bi-Heyting algebras using some modifications of the above ideas?
3. Can this description be used for instance to study model theoretic questions about the first-order theory of (free) Heyting algebras?

Thank you!  
Questions?