

# INTRODUCTION TO TOPOLOGY IN AND VIA LOGIC

## Lecture 5

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- Fluffy Filters
- Compactness in spaces.
- Compactness and Filters.
- Compact Hausdorff Spaces.
- Introduction to compactifications.

## Theorem

*Let  $(X, \tau)$  be a topological space. Then the following are equivalent:*

- 1.  $X$  is Hausdorff;*
- 2. For each filter base  $F$ ,  $F$  converges to at most one point;*

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- 2. For each filter base  $F$ ,  $F$  converges to at most one point;*

But what about **existence**?

Recall our canonical topological space  $X = MCS$ , whose elements are maximally consistent sets, with the basis given by:

$$\{A_\phi : \phi \text{ a formula}\} \text{ where } A_\phi = \{x \in MCS(P) : \phi \in x\}.$$

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Now suppose that  $S$  is a consistent set of formulas which is closed under conjunction. Let  $\Gamma_S$  be given as

$$\Gamma_S = \{A_\phi : \phi \in S\}.$$

Then  $\Gamma_S$  is a filter base. By Lindenbaum's lemma, we can extend  $S$  to a maximally consistent set of formulas, i.e., there is some  $x \in X$  such that  $x \in \bigcap \Gamma_S$ .

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**Key Property:** if we have a filter base, then it can be extended to a filter base which converges to a point. The way to go about this goes via **fluffy filters**.

To model this we need special kinds of filters:

## Definition

Let  $X$  be a set and  $F$  a filter. We say that  $F$  is a *prime filter* (or sometimes a *fluffy filter*<sup>a</sup>) if it satisfies the following:

- For each  $S \subseteq X$ , either  $S \in F$  or  $X - S \in F$ .

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<sup>a</sup>See the end notes of Chapter 7 for an explanation of this nomenclature.



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Example: for each  $x$ , the collection  $F(x)$

$$\{U \subseteq X : x \in U\}$$

is a prime filter. But in general there could be many more!

### Theorem (Tarski, Prime Filter Theorem)

*Let  $X$  be a set and  $F$  a filter base on  $X$ . Then there exists a prime filter  $G \supseteq F$ .*

Proof: A proof is in the notes. We will not do it here, as the technique is mostly lattice theoretic, and we will only need the statement (but in MSL this is proven in full).

We now have enough to discuss another crucial topological property.

## Definition

Let  $X$  be a topological space, and  $A \subseteq X$ . Given a collection  $(U_i)_{i \in I}$  of open sets, we say that this is an **open cover** of  $A$  if:

$$A = \bigcup_{i \in I} U_i.$$

Given such a cover, we say that a subcollection  $(U_j)_{j \in J}$  for  $J \subseteq I$  is a *subcover* if it is a cover of  $A$ . We say that a cover is *finite* if  $I$  is finite.

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## Definition

Let  $X$  be a topological space. We say that  $X$  is **compact** if whenever  $(U_i)_{i \in I}$  is an open cover, there exists a finite  $I_0 \subseteq I$  such that  $(U_j)_{j \in I_0}$  is a subcover of  $X$ .

## Proposition

*Let  $(X, \tau)$  be a topological space with a basis  $\mathcal{B}$ . Then a set is compact if and only if every cover of  $X$  by basic open sets has a finite subcover.*

Proof: See Blackboard.

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Proof: See Blackboard.

## Example

Negative example:  $\mathbb{R}$  is not compact.

Positive example: the Cantor space is compact. See blackboard.

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What about subbases?

## Theorem (Alexander Subbase Theorem)

*Let  $X$  be a topological space with a subbasis  $\mathcal{S}$ . Then  $X$  is compact if and only if every cover of  $X$  by subbasic opens has a finite subcover.*



Let us reformulate our criterion for compactness a bit:

## Definition

Let  $X$  be a set, and  $S \subseteq \mathcal{P}X$  a family of subsets. We say that  $S$  has the *finite intersection property* if whenever  $A_0, \dots, A_n \in S$  then  $A_0 \cap \dots \cap A_n \neq \emptyset$ .

## Lemma

Let  $X$  be a topological space. Then  $X$  is compact if and only if, whenever  $\mathcal{F}$  is a family of closed subsets with the finite intersection property, then  $\bigcap \mathcal{F} \neq \emptyset$ .

Proof: See Blackboard.

### Proposition

*Let  $(X, \tau)$  be a topological space. Then  $X$  is compact if and only if whenever  $\mathcal{U}$  is a *fluffy filter*, then  $\mathcal{U}$  converges in  $X$ .*

Proof: See Blackboard.

Break:  $\pm$  10 minutes.

A very important theme when dealing with compact spaces is the notion of a **compact subspace**.

### Definition

Let  $X$  be a topological space. We say that  $A \subseteq X$  is a *compact subset* if  $A$  is compact as a topological space with the subspace topology.

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Let  $X$  be a topological space. We say that  $A \subseteq X$  is a *compact subset* if  $A$  is compact as a topological space with the subspace topology.

### Lemma

*Let  $X$  be a compact topological space. If  $A$  is closed, then  $A$  is a compact subset.*

Proof: Exercise (worked out in the notes).

When we have compactness and Hausdorff separation, we can get in general much stronger results than with either property separate:

## Theorem

*Let  $X$  be a topological space. If  $X$  is compact and Hausdorff, then:*

- $X$  is Normal;*
- The compact subsets are precisely the closed ones.*
- If  $f : X \rightarrow Y$  is a continuous bijection from a compact to a Hausdorff space, then  $f$  is a homeomorphism.*

Proof: See Blackboard.

## Compactification

Given the previous theorem, one would want to find some principled way of turning spaces into compact Hausdorff ones. In general, we already need some separation to start with. But we can add compactness.

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## Definition

Let  $X$  be a topological space. We say that a set  $A \subseteq X$  is **dense** if  $\overline{A} = X$ .

## Definition

Let  $X, Y$  be topological spaces such that  $f : X \rightarrow Y$  is a continuous function. We say that the pair  $(Y, f)$  is a *topological extension* of  $X$  if  $f[X]$  is dense in  $Y$ . We say that an extension is a **decent compactification** if:

- $Y$  is compact;
- $f$  is a homeomorphism;
- $X$  is non-compact.
- $f[X]$  is open in  $Y$ .

(Guess: Not all compactifications will be decent, but we will not be too worried!)



## Example

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**Figure 1:** One-point compactification

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Consider the space  $\mathbb{N}$  with the discrete topology. No infinite discrete space is ever compact. But we can compactify this in a natural way:

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**Figure 1:** One-point compactification

We add a point and declare that a subset  $S$  is open in the new space if and only if it was already open, or it is a cofinite subset containing  $\omega$ . Then this becomes a compact space, denoted  $\alpha(\omega)$  or the **Alexandroff compactification** of the naturals.

- Connectedness.

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- The End?



Thank you!  
Questions?